## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

## January 29, 2004 U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
/G.V./		60/706,109	8/5/2005	Noviello			
/G.V./		2007/0156754	7/5/2007	Busch et al.	l l		

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
/G.V./		WO 2001/093169 A1	12/6/2001	PCT	Waelbroeck et al.		

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published				
/G,V./ Australian Examiner's Report for Application No. 200520			80, dated November 17, 2009 (2 pages).			
5505000000		Australian Examiner's Report for Application No. 2005208978, da	ited November	26, 2009 (1 page).		
****		Australian Examiner's Report for Application No. 2005208981, dated December 14, 2009 (3 pages).				
		USPTO Office Action for Application No. 10/767,649, August 27, 2009 (20 pages).				
		USPTO Examiner Interview Summary for Application No. 10/767,699, December 23, 2009 (3 pages)  USPTO Examiner's Answer to Appeal Brief for Application No. 10/767,699, December 24, 2009 (16 pages).				
***************************************						
		USPTO Examiner's Answer to Appeal Brief for Application No. 10/766,965, January 20, 2010 (19 pages).				
USPTO Notice of Allowance and Fees Due and Examiner Interview Summary fi 11/499,496, dated February 17, 2010 (89 pages).				or Application No.		
V		George Simon & Kathryn Trikla, "The Regulation of Specialists and Implications for the Future," Business Lawyer, Nov. 2005, pp. 217-387.				
/G.V./		The 'Hybrid Approach': A review of NYSE's Market Structure Proposal, Mondaq Business Briefing, NA, Dec 9, 2004.				
Examiner Signature		/Gerald Vizvary/	Date Considered	07/28/2010		